

Internal Appeals Procedure 2023-24

Policy Monitoring, Evaluation and Review

The policy will be promoted and implemented throughout the academy. The Local Governing Body will review the policy, unless there are significant changes in the interim period.

Version:	1.0
Date created:	4 th September 2023
Author:	Miss A Thorley
Ratified by:	Mr T Hague
Date ratified:	11 th September 2023
Review date:	October 2024

Revision History:

Version:	Date:	Author:	Summary of Changes:
1.0	04.09.2023	Miss A Thorley	New Policy

Contents

Key staff involved in the policy	. Error! Bookmark not defined.
Introduction	4
Purpose of the procedure	4
Principles relating to centre assessed marks	5
Procedure for appealing internal assessment decisions (centre assessed marks)	5
Internal Appeals form	8
Complaints and Appeals Log	9
Further guidance to inform and implement appeals procedures	

Introduction

Certain qualifications contain components of non-examination assessment (or units of coursework) which are internally assessed (marked) by Castle Mead Academy and internally reviewed/standardised. The marks awarded (the internal assessment decisions) which contribute to the final grade of the qualification are then submitted by the deadline set by the awarding body for external moderation.

The moderation process carried out by the awarding body may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is in line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional.

The qualifications delivered at Castle Mead Academy containing components of non-examination assessment/units of coursework are:

- GCSE
- Entry level Certificate in Mathematics
- BTEC

Purpose of the procedure

The purpose of this procedure is to confirm the arrangements at Castle Mead Academy for dealing with candidate appeals relating to internal assessment decisions.

This procedure ensures compliance with JCQ **General Regulations for Approved Centres** 5.7 which state that centres must:

- have in place and be available for inspection purposes, a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates
- before submitting marks to the awarding body inform candidates of their centre assessed marks and allow a candidate to request a review of the centre's marking

This procedure covers appeals relating to:

- Internal assessment decisions (centre assessed marks)
- Centre decisions not to support a clerical re-check, a review of marking, a review of moderation or an appeal.
- Centre decisions relating to access arrangements and special consideration.
- Centre decisions relating to other administrative issues.

For all GCSE, BTEC and other Project qualifications (include any other qualifications delivered at Castle Mead Academy to which these procedures apply) **Note** - the JCQ <u>Notice to Centres – Informing candidates of their</u> <u>centre assessed marks</u> (FAQ 1) confirms that the JCQ publication **General Regulations for Approved Centres** states that centres **must** have a written internal appeals procedure relating to internal assessment decisions in all qualifications.

Details of this procedure **must** be communicated, made widely available and accessible to all candidates, including the marking and quality assurance/internal standardisation processes which relevant teaching staff are required to follow.

Deadlines for the submission of marks

	Qualification	Details	Exam series
TO ADD 2024 DATES	GCSE	Final date for submitting centre assessed marks AQA, OCR, Pearson, WJEC	May 2024 for most subjects 31 May 2024 for Art and Design

Principles relating to centre assessed marks

The head of centre/senior leader(s) at Castle Mead Academy will ensure that the following principles are in place in relation to marking the work of candidates:

- A commitment to ensuring that whenever teaching staff mark candidates' work, that this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.
- Deadlines for each subject are as agreed by the Curriculum Leader and Exams Manager and communicated in a timely fashion by the Curriculum Leader to all students involved.
- All centre staff follow a robust Non-examination Assessment Policy (for the management of non-examination assessments). This policy details all procedures relating to non-examination assessments for relevant qualifications delivered in the centre, including the marking and quality assurance/internal standardisation processes which relevant teaching staff are required to follow.
- Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, and who have been trained in this activity.
- A committed to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where more than one subject teacher is involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking.
- On being informed of their centre assessed marks, if a candidate believes that the above procedures were not followed in relation to the marking of their work, or that the assessor has not properly applied the marking standards to their marking, then they may make use of the appeals procedure below to consider whether to request a review of the centre's marking.

Procedure for appealing internal assessment decisions (centre assessed marks)

The head of centre/senior leader(s) at Castle Mead Academy will:

- 1. ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body.
- 2. inform candidates that they will need to explain on what grounds they wish to request a review of an internally assessed mark as a review will only focus on the quality of work submitted
- 3. inform candidates that they may request copies of materials (generally as a minimum, a copy of the marked assessment material (work) and the mark scheme or assessment criteria plus additional materials which may

vary from subject to subject) to assist them in considering whether to request a review of the centre's marking of the assessment.

- 4. having received a request for copies of materials, promptly make them available to the candidate (or for some marked assessment materials, such as artwork and recordings, inform the candidate that the originals will be shared under supervised conditions) within 2 working days.
- 5. provide candidates with sufficient time in order to allow them to review copies of materials and reach a decision, informing candidates that if their decision is to request a review, they will need to explain what they believe the issue to be.
- 6. provide a clear deadline for candidates to submit a request for a review of the centre's marking. Requests will not be accepted after this deadline. Requests must be made in writing **within 2 working days** of receiving copies of the requested materials completing the internal appeals form which can be requested from the Exams Manager. We will also accept a written request by letter or email.
- 7. Require candidates to make requests for a review of centre marking by completing an internal appeals form.
- 8. Allow 5 working days for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline for the submission of marks.
- 9. ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate for the component in question and has no personal interest in the outcome of the review.
- 10. instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre.
- 11. inform the candidate in writing of the outcome of the review of the centre's marking.
- 12. Ensure the outcome of the review of the centre's marking will be made known to the head of centre who, will have the final decision if there is any disagreement on the mark to be submitted to the awarding body. Any irregularity in procedures should be brought to the attention of the awarding body.
- 13. Ensure a written record of the review will be kept and made available to the awarding body upon request.
- 14. Ensure the awarding body is informed if the centre does not accept the outcome of a review.
- 15. inform candidates they will not be allowed access to original assessment material unless supervised.

Deadlines and timescales

- Upon request, copies of materials will be made available to the candidate within 2 working days.
- The deadline to request a review of marking must be made within 2 working days of the candidate receiving copies of the requested materials.
- The process for completing the review, making any changes to marks, and informing the candidate of the outcome will be completed within 5 working days all before the awarding body's deadline for the submission of marks.

Changes 2023/2024

(Changed) Under Procedure for appealing internal assessment decisions: ...inform candidates that they will
need to explain on what grounds they wish to request a review of an internally assessed mark as a review
will only focus on the quality of their work in meeting the published assessment criteria (To) inform
candidates that they will need to explain on what grounds they wish to request a review of an internally
assessed mark as a review will only focus on the quality of work submitted

• Centre-specific changes

The original document has now been split in 4 policies.

FOR CENTRE USE ONLY

Internal Appeals form

Date received

Reference No.

Please tick box to indicate the nature of your appeal and complete all white boxes* on the form below

- □ Appeal against an internal assessment decision and/or request for a review of marking
- Appeal against the centre's decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal.
- Appeal against the centre's decision relating to access arrangements or special consideration.
- Appeal against the centre's decision relating to an administrative issue.

*Where the nature of the appeal does not relate directly to an awarding body's specific qualification, indicate N/A in awarding body specific detail boxes

Name of appellant		Candidate name (if different to appellant)	
Awarding body		Exam paper code	
Qualification type Subject		Exam paper title	
Please state the grour	nds for your appeal below:		
	l is against an internal assessment decisi necessary, continue on an additional page if		ew of the centre's marking. lectronically or overleaf if hard copy being completed
Appellant signature:		Date of sign	ature:

This form must be signed, dated and returned to the exams officer on behalf of the head of centre to the timescale indicated in the relevant appeals procedure

Complaints and Appeals Log

On receipt, all complaints/appeals are assigned a reference number and logged. Outcome and outcome date is also recorded.

The outcome of any review of the centre's marking will be made known to the head of centre. A written record of the review will be kept and logged as an appeal, so information can be easily made available to an awarding body upon request. The awarding body will be informed if the centre does not accept the outcome of a review – this will be noted on this log.

Ref No.	Date received	Complaint or Appeal	Outcome	Outcome date

Further guidance to inform and implement appeals procedures

JCQ publications

- General Regulations for Approved Centres
 <u>https://www.jcq.org.uk/exams-office/general-regulations</u>
- Post-Results Services
 <u>https://www.jcq.org.uk/exams-office/post-results-services</u>
- JCQ Appeals Booklet (A guide to the awarding bodies' appeals processes) <u>https://www.jcq.org.uk/exams-office/appeals</u>
- Notice to Centres Informing candidates of their centre assessed marks <u>https://www.jcq.org.uk/exams-office/non-examination-assessments</u>
- Suspected Malpractice: Policies and Procedures https://www.jcq.org.uk/exams-office/malpractice/
- Access Arrangements and Reasonable Adjustments <u>https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/</u>
- A guide to the special consideration process <u>https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/</u>

Ofqual publications

- GCSE (9 to 1) qualification-level conditions and requirements <u>https://www.gov.uk/government/publications/gcse-9-to-1-qualification-level-conditions</u>
- GCE qualification-level conditions and requirements <u>https://www.gov.uk/government/publications/gce-</u> <u>qualification-level-conditions-and-requirements</u>